

PHA Plan

5 Year Plan for Fiscal Years 2001 - 2005
Annual Plan for Fiscal Year 2001

The Mid-East Regional Housing Authority

NC087v03

**PHA Plan
Agency Identification**

PHA Name: Mid-East Regional Housing Authority

PHA Number: NC087

PHA Fiscal Year Beginning: (mm/yyyy) 10/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2001 - 2005
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☒ The PHA's mission is: (state mission here)
The aim of the Mid-East Regional Housing Authority is to ensure safe, decent, and affordable housing: create opportunities for residents self-sufficiency and economic independence; and assure fiscal integrity in all programs administered.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers:
 - ☒ Reduce public housing vacancies:
 - ☒ Leverage private or other public funds to create additional housing opportunities:
 - ☒ Acquire or build units or developments
 - ☒ Other (list below) **Convert Section 8 Tenant Based Housing Choice Voucher to Section 8 Project Based Assistance.**
- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score) **100%**

- ☒ Improve voucher management: (SEMAP score) **Not Received**
- ☒ Increase customer satisfaction:
- ☒ Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units:
- ☒ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

- ☒ PHA Goal: Increase assisted housing choices
Objectives:
 - ☒ Provide voucher mobility counseling:
 - ☒ Conduct outreach efforts to potential voucher landlords
 - ☐ Increase voucher payment standards
 - ☐ Implement voucher homeownership program:
 - ☐ Implement public housing or other homeownership programs:
 - ☒ Implement public housing site-based waiting lists:
 - ☐ Convert public housing to vouchers:
 - ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☒ PHA Goal: Provide an improved living environment
Objectives:
 - ☒ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - ☒ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - ☒ Implement public housing security improvements:
 - ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☒ Increase the number and percentage of employed persons in assisted families:
- ☒ Provide or attract supportive services to improve assistance recipients' employability:
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan
PHA Fiscal Year 2001
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☐ **Standard Plan**

Streamlined Plan:

- ☒ **High Performing PHA**
☒ **Small Agency (<250 Public Housing Units)**
☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- A** ☒ Admissions Policy for Deconcentration
- B** ☒ FY 2001 Capital Fund Program Annual Statement
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- ☐ PHA Management Organizational Chart
- C** ☒ FY 2001 Capital Fund Program 5 Year Action Plan
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☒ Other (List below, providing each attachment name)

D - PHA Certifications and Board Resolutions.

E - Statement of Consistency with the Consolidated Plan.

F – Brief Statement in Meeting 5 yr. Missions & Goals

G – Resident Member on the PHA Governing Board

H – Membership of the Resident Advisory Board or Boards

I – FY2001 RHF Annual Statement

J – FY2000 CFP P & E Report

K – FY2000 RHF P & E Report

L – FY1999 P & E Report

M – FY1998 P & E Report

N – FY1998 Demo P & E Report

O – Community Service Policy

P – Pet Policy









Q – Assessment of Demographic Changes in Public Housing Developments


R – Section 8 Project Based Voucher Statement

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
<input type="checkbox"/>	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
<input type="checkbox"/>	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
<input type="checkbox"/>	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
<input type="checkbox"/>	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
<input type="checkbox"/>	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
<input type="checkbox"/>	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
<input type="checkbox"/>	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
<input type="checkbox"/>	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
<input type="checkbox"/>	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
<input type="checkbox"/>	Schedule of flat rents offered at each public housing development	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	<input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	
	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	489	3	4	3	3	3	3
Income >30% but <=50% of AMI	472	3	4	3	3	3	3
Income >50% but <80% of AMI	15	3	4	3	3	3	3
Elderly	49	3	4	3	3	3	3
Families with Disabilities	118	3	4	3	3	3	3
Race/Ethnicity WHITE	141	3	4	3	3	3	3
Race/Ethnicity BLACK	348	3	4	3	3	3	3
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Race/Ethnicity	N/A	N/A	N/A	N/A	N/A	N/A	N/A

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☒ Consolidated Plan of the Jurisdiction/s

Indicate year: **2000 State of North Carolina**

- ☐ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
☐ American Housing Survey data

Indicate year:

- ☐ Other housing market study

Indicate year:

- ☒ Other sources: (list and indicate year of information)

PHA local survey of jurisdiction 2000/ State of North Carolina Consolidated Plan 1996

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	737		60
Extremely low income <=30% AMI	464	63%	
Very low income (>30% but <=50% AMI)	258	35%	
Low income (>50% but <80% AMI)	15	2%	
Families with children	222	30%	
Elderly families	4	.5%	
Families with Disabilities	17	2%	
Race/ethnicity WHITE	78	11%	
Race/ethnicity BLACK	655	88.5%	
Race/ethnicity HISPANIC	4	.5%	

Housing Needs of Families on the Waiting List			
Race/ethnicity	0%	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR			
2 BR			
3 BR			
4 BR			
5 BR			
5+ BR			
<p>Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>If yes:</p> <p>How long has it been closed (# of months)?</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes</p>			

Housing Needs of Families on the Waiting List

Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input checked="" type="checkbox"/>	Public Housing		
<input type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	123		24
Extremely low income <=30% AMI	110	89%	
Very low income (>30% but <=50% AMI)	11	.08%	
Low income (>50% but <80% AMI)	2	.02%	
Families with children	100	81%	
Elderly families	40	33%	
Families with Disabilities	30	24%	
Race/ethnicity WHITE	10	8%	
Race/ethnicity BLACK	113	91%	
Race/ethnicity HISPANIC	0	0%	
Race/ethnicity	0	0%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	32	26%	
2 BR	51	41%	
3 BR	26	29%	
4 BR	6	3%	
5 BR	2	1%	
5+ BR	0	0%	

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☐ Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☒ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☒ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☒ Other (list below) **Convert 40 units of Tenant Based Section 8 Housing Choice Vouchers to Section 8 Project Based Assistance.**

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☒ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☒ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☒ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☐ Extent to which particular housing needs are met by other organizations in the community
- ☐ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☐ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government

- ☐ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

2.Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2001 grants)	\$3,065,808.00	
a) Public Housing Operating Fund	\$179,300.00	
b) Public Housing Capital Fund	\$286,101.00	
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$2,129,630.00	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)		
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
RHF 2001	\$470,777.00	Development
2. Prior Year Federal Grants (unobligated funds only) (list below)	\$741,672.00	
CFP 2000	\$599,744.00	Modernization & Development
RHF 2000	\$141,928.00	Development
Hope VI Demo 1998	\$755,980.00	Demolition/Site Restoration
3. Public Housing Dwelling Rental Income	\$130,960.00	
Rental Income	\$130,960.00	Operations
4. Other income (list below)	\$28,000.00	

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
Maintenance Charges		
Interest & Other	\$28,000.00	Operations & Reserves
5. Non-federal sources (list below)		
Total resources	\$3,966,440.00	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☒ When families are within a certain number of being offered a unit: (state number) **5**
☐ When families are within a certain time of being offered a unit: (state time)
☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
☒ Rental history
☒ Housekeeping
☒ Other (describe) **Credit History**

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☐ Community-wide list
- ☐ Sub-jurisdictional lists
- ☒ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
- ☒ PHA development site management office
- ☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year? **6**

2. ☒ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☒ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists? **6**

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☒ PHA main administrative office
- ☒ All PHA development management offices
- ☒ Management offices at developments with site-based waiting lists
- ☒ At the development to which they would like to apply
- ☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One
- ☐ Two
- ☐ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA

(4) Admissions Preferences

a. Income targeting:

- ☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies
☒ Overhoused
☒ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☒ Other: (list below) **Employment Opportunities**

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☒ Victims of domestic violence
☒ Substandard housing
☒ Homelessness
☒ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☒ Working families and those unable to work because of age or disability
☐ Veterans and veterans' families
☐ Residents who live and/or work in the jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)

- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

Date and Time

Former Federal preferences:

- 1** Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2** Victims of domestic violence
- 5** Substandard housing
- 3** Homelessness
- 6** High rent burden

Other preferences (select all that apply)

- 4** ☒ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans’ families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA’s Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☐ At an annual reexamination and lease renewal

- ☒ Any time family composition changes
☐ At family request for revision
☐ Other (list)

(6) Deconcentration and Income Mixing

- a. ☐ Yes ☒ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. ☐ Yes ☒ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]

- c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site-based waiting lists
If selected, list targeted developments below:
- ☐ Employing waiting list “skipping” to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- ☐ Other (list policies and developments targeted below)

- d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

- e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing

- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments
- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.

Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)

☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☒ Criminal or drug-related activity
- ☐ Other (describe below)

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- ☒ None
- ☐ Federal public housing
- ☐ Federal moderate rehabilitation
- ☐ Federal project-based certificate program
- ☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- ☒ PHA main administrative office
- ☐ Other (list below)

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

Hard to find a unit.

(4) Admissions Preferences

a. Income targeting

☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner,
Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected?
(select one)

- ☐ Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction"
(select one)

- ☐ This preference has previously been reviewed and approved by HUD

- ☐ The PHA requests approval for this preference through this PHA Plan
6. Relationship of preferences to income targeting requirements: (select one)
- ☐ The PHA applies preferences within income tiers
- ☐ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

- a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)
- ☒ The Section 8 Administrative Plan
- ☒ Briefing sessions and written materials
- ☐ Other (list below)
- b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?
- ☒ Through published notices
- ☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

- a. Use of discretionary policies: (select one)

- ☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

- b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0

- ☒ \$1-\$25
☐ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

2. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member

☐ For increases in earned income

☐ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

☐ For household heads

☐ For other family members

☐ For transportation expenses

☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families

☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☐ Yes for all developments

☐ Yes but only for some developments

☒ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☐ For all developments

☐ For all general occupancy developments (not elderly or disabled or elderly only)

- ☐ For specified general occupancy developments
- ☐ For certain parts of developments; e.g., the high-rise portion
- ☐ For certain size units; e.g., larger bedroom sizes
- ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
- ☐ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- ☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☐ The section 8 rent reasonableness study of comparable housing
- ☒ Survey of rents listed in local newspaper
- ☒ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below)

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☒ 100% of FMR
- ☐ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☐ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☒ \$1-\$25
- ☐ \$26-\$50

- b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

☐ An organization chart showing the PHA's management structure and organization is attached.

☒ A brief description of the management structure and organization of the PHA follows:
Executive Director, Administrative Staff, Public Housing Staff, Section "8" Staff and a Maintenance Staff.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	165	25
Section 8 Vouchers	537	125
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs(list individually)		
Section 8, NC	155	10

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

Admissions and Continued Occupancy Policy, Lease Part I & II, Greivance, Procurement, Capitalization, Disposition, Travel, One Strike, Maintenance Plan, Rent Policy

(2) Section 8 Management: (list below)

Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office
☒ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☒ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment **B**

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment **C**

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- ☐ Revitalization Plan under development
- ☐ Revitalization Plan submitted, pending approval
- ☐ Revitalization Plan approved
- ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- ☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: N/A
1b. Development (project) number: NC087-12
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/> Vacant Land
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(30/06/02)</u>
5. Number of units affected: 0
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 30/12/02 b. Projected end date of activity: 31/03/03

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

1. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: 1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA’s Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

- ☐ Yes ☐ No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- ☐ Yes ☒ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed?

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☐ Client referrals
- ☐ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☐ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☐ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2001 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☐ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☐ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

SEE ATTACHMENT O

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☐ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☐ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☐ Resident reports
- ☐ PHA employee reports
- ☐ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design
- ☐ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☐ Police provide crime data to housing authority staff for analysis and action
- ☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☐ Police regularly meet with the PHA management and residents
- ☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

1. Which developments are most affected? (list below)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2001 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2001 in this PHA Plan?
- ☐ Yes ☐ No: This PHDEP Plan is an Attachment.

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

SEE ATTACHMENT P

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
☐ Not applicable
☐ Private management
☐ Development-based accounting
☐ Comprehensive stock assessment
☐ Other: (list below)
3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
☐ Attached at Attachment
☐ Provided below:

3. In what manner did the PHA address those comments? (select all that apply)

- ☐ Considered comments, but determined that no changes to the PHA Plan were necessary.
☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
☐ Candidates could be nominated by any adult recipient of PHA assistance
☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
☐ Other: (describe)

b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
☐ Any head of household receiving PHA assistance
☐ Any adult recipient of PHA assistance
☐ Any adult member of a resident or assisted family organization
☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
☐ Representatives of all PHA resident and assisted family organizations
☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: **State of North Carolina**

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
To provide housing to eligible residents that is safe, sanitary and decent in an affordable manner to meet the prospective resident's needs.
- ☐ Other: (list below)

3. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

To assist perspective resident to obtain safe, sanitary and decent housing which is affordable and assist perspective resident with self-sufficiency.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definitions for a substantial deviation from a 5-Year Plan and a significant amendment or modification to a 5-Year Plan and Annual Plan:

Substantial deviations or significant amendments or modifications are defined as discretionary in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners

Attachments

Use this section to provide any additional attachments referenced in the Plans.

A - ADMISSIONS POLICY FOR DECONCENTRATION

B - FY 2001 CAPITAL FUND PROGRAM ANNUAL STATEMENT

C - FY 2001 CAPITAL FUND PROGRAM 5 YEAR ACTION PLAN

D - PHA CERTIFICATIONS & BOARD RESOLUTIONS

E – STATEMENT OF CONSISTENCY WITH THE CONSOLIDATED PLAN

F - BRIEF STATEMENT IN MEETING 5 YR. MISSIONS & GOALS

G - RESIDENT MEMBER ON THE PHA GOVERNING BOARD

H – MEMBERSHIP OF THE RESIDENT ADVISORY BOARD OR BOARDS

I– FY2001 RHF ANNUAL STATEMENT

J– FY2000 CFP P & E REPORT

K– FY2000 RHF P & E REPORT

L– FY1999 P & E REPORT

M– FY1998 P & E REPORT

N– FY1998 DEMO P & E REPORT

O– COMMUNITY SERVICE POLICY

P– PET POLICY

Q– ASSESSMENT OF DEMOGRAPHIC CHANGES IN PUBLIC HOUSING DEVELOPMENTS

R– SECTION 8 PROJECT BASED VOUCHER STATEMENT

ATTACHMENT A

Admissions Policy for Deconcentration

DECONCENTRATION POLICY

It is Mid-East Regional Housing Authority's policy to provide for deconcentration of poverty and encourage income mixing by bringing higher income families into lower income developments and lower income families into higher income developments. Towards this end, we will skip families on the waiting list to reach other families with a lower or higher income. We will accomplish this in a uniform and non-discriminating manner.

The Mid-East Regional Housing Authority will affirmatively market our housing to all eligible income groups. Lower income residents will not be steered toward lower income developments and higher income people will not be steered toward higher income developments.

Prior to the beginning of each fiscal year, we will analyze the income levels of families residing in each of our developments, the income levels of census tracts in which our developments are located, and the income levels of the families on the waiting list. Based on this analysis, we will determine the level of marketing strategies and deconcentration incentives to implement.

The Mid-East Regional Housing Authority may offer one or more incentives to encourage applicant families whose income classification would help to meet the deconcentration goals of a particular development.

Various incentives may be used at different times, or under different conditions, but will always be provided in a consistent and non-discriminatory manner.

When the Mid-East Regional Housing Authority discovers that a unit will become available, we will contact the first family on the waiting list who has the highest priority for this type of unit or development and whose income category would help to meet the deconcentration goal and/or the income targeting goal.

The Mid-East Housing Authority will contact the family first by telephone to make the unit offer. If the family cannot be reached by telephone, the family will be notified of a unit offer via first class mail. The family will be given three (3) business days from the date the letter was mailed to contact the Mid-East Regional Housing Authority regarding the offer.

The family will be offered the opportunity to view the unit. After the opportunity to view the unit, the family will have 24 hours to accept or reject the unit. This verbal offer and the family's decision must be documented in the tenant file. If the family rejects the offer of the unit, the Mid-East Regional Housing Authority will send the family a letter documenting the offer and the rejection.

ATTACHMENT B – FY2001 Annual Statement

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750101 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$56,878.00			
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$16,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable	\$5,000.00			
12	1470 Non-dwelling Structures	\$5,000.00			
13	1475 Non-dwelling Equipment	\$5,000.00			
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$198,223.00			
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$286,101.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Mid-East Regional Housing Authority, Washington, NC	Grant Type and Number Capital Fund Program Grant No: NC19P08750101 Replacement Housing Factor Grant No:	Federal FY of Grant: 2001
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☒ Original Annual Statement
 ☐ Reserve for Disasters/ Emergencies
 ☐ Revised Annual Statement (revision no:)
 ☐ Performance and Evaluation Report for Period Ending:
 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>OPERATIONS</u>							
PHA-WIDE	Operations	1406	165 Units	\$56,878.00				
	SUBTOTAL			\$56,878.00				
	<u>FEES & COSTS</u>							
NC87-6	a. Architects fee to prepare bid and contract	1430.1	30 Units	\$0.00				
NC87-7	documents, drawings, specifications and	1430.1	20 Units	\$0.00				
NC87-8	assist the PHA at bid opening, awarding	1430.1	30 Units	\$0.00				
NC87-11	the contract, and to supervise the	1430.1	35 Units	\$0.00				
NC87-12	construction work on a periodic basis.	1430.1	50 Units	\$14,000.00				
	Fee to be negotiated. Contract Labor.							
	Subtotal			\$14,000.00				
NC87-6	b. Consulting fees for Agency Plan	1430.2	30 Units	\$400.00				
NC87-7	Preparation.	1430.2	20 Units	\$400.00				
NC87-8		1430.2	30 Units	\$400.00				
NC87-11		1430.2	35 Units	\$400.00				
NC87-12		1430.2	50 Units	\$400.00				
	Subtotal			\$2,000.00				
	SUBTOTAL			\$16,000.00				
	<u>DWELLING EQUIPMENT</u>							

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750101 Replacement Housing Factor Grant No:				Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Ranges & Refrigerators	1465	12 Units	\$5,000.00				
	SUBTOTAL			\$5,000.00				
	<u>NON-DWELLING STRUCTURES</u>							
PHA-Wide	Miscellaneous Renovations & Maintenance	1470	1 Each	\$5,000.00				
	Facilities							
	SUBTOTAL			\$5,000.00				
	<u>NON-DWELLING EQUIPMENT</u>							
PHA-Wide	Purchase Maintenance, Office & Community	1475	1 Each	\$5,000.00				
	Room Equipment							
	SUBTOTAL			\$5,000.00				
	<u>DEVELOPMENT ACTIVITIES</u>							
NC87-6		1499	0 Unit	\$0.00				
NC87-7		1499	0 Unit	\$0.00				
NC87-8		1499	0 Unit	\$0.00				
NC87-11		1499	0 Unit	\$0.00				
NC87-12		1499	12 Units	\$198,223.00				
	SUBTOTAL			\$198,223.00				
	GRAND TOTAL			\$286,101.00				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

NC87-6=30 Apts.; NC87-7=20 Apts.; NC87-8=30 Apts.; NC87-11=35 Apts.; NC87-12=50 Apts.

ATTACHMENT C – FY2001 Five Year Action Plan

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name: Mid-East Regional Housing Authority , Washington, NC		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA-Wide	Year 1	Work Statement for Year 2 FFY Grant: 2002 PHA FY: 2002	Work Statement for Year 3 FFY Grant: 2003 PHA FY: 2003	Work Statement for Year 4 FFY Grant: 2004 PHA FY: 2004	Work Statement for Year 5 FFY Grant: 2005 PHA FY: 2005
HA WIDE	Annual Statement	\$87,878.00	\$87,878.00	\$87,878.00	\$87,878.00
NC87-6		\$0.00	\$0.00	\$0.00	\$20,000.00
NC87-7		\$0.00	\$0.00	\$0.00	\$20,000.00
NC87-8		\$0.00	\$0.00	\$0.00	\$20,000.00
NC87-11		\$0.00	\$0.00	\$0.00	\$20,000.00
NC87-12		\$198,223.00	\$198,223.00	\$198,223.00	\$118,223.00
CFP Funds Listed for 5-year planning		\$286,101.00	\$286,101.00	\$286,101.00	\$286,101.00
Replacement Housing Factor Funds		\$470,777.00	\$470,777.00	\$470,777.00	\$470,770.00

NC87-6=30 Apts.; NC87-7=20 Apts.; NC87-8=30 Apts.; NC87-11=35 Apts.; NC87-12=50 Apts.

Part II: Supporting Pages—Work Activities

FY 2001 Annual Plan Page 7

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year: <u>4</u> FFY Grant: 2004 PHA FY: 2004			Activities for Year: <u>5</u> FFY Grant: 2005 PHA FY: 2005		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-WIDE	Operations	\$56,878.00	PHA-WIDE	Operations	\$56,878.00
PHA-WIDE	Fees & Costs	\$16,000.00	PHA-WIDE	Fees & Costs	\$16,000.00
PHA-WIDE	<u>Dwelling Equipment</u>		PHA-WIDE	<u>Dwelling Equipment</u>	
	Ranges & Refrigerator	\$5,000.00		Ranges & Refrigerator	\$5,000.00
PHA-WIDE	<u>Non-Dwelling Construction</u>		PHA-WIDE	<u>Non-Dwelling Construction</u>	
	Renovate AMC	\$5,000.00		Renovate Maintenance & Central Office	\$5,000.00
PHA-WIDE	<u>Non-Dwelling Equipment</u>		PHA-WIDE	<u>Non-Dwelling Equipment</u>	
	Computer	\$5,000.00		Mowers, Vehicle, Radios & Computer	\$5,000.00
	SUBTOTAL	\$87,878.00	PHA-WIDE	SUBTOTAL	\$87,878.00
NC87-12	Development Activities	\$198,223.00	NC87-6	Interior Improvements-Floors, Walls, Ceilings, Doors & Bathrooms	\$20,000.00
	SUBTOTAL	\$198,223.00			
			NC87-7	Interior Improvements-Floors, Walls, Ceilings, Doors & Bathrooms	\$20,000.00
			NC87-8	Interior Improvements-Floors, Walls, Ceilings, Doors & Bathrooms	\$20,000.00
			NC87-11	Interior Improvements-Floors, Walls, Ceilings, Doors & Bathrooms	\$20,000.00
			NC87-12	Development Activities	\$118,223.00
				SUBTOTAL	\$198,223.00
Total CFP Estimated Cost		\$286,101.00			\$286,101.00

ATTACHMENT D

PHA CERTIFICATIONS & BOARD RESOLUTIONS

Originals forwarded to local HUD office under separate cover.

ATTACHMENT E

Statement of Consistency with the Consolidated Plan

Originals forwarded to local HUD office under separate cover.

ATTACHMENT F

Brief Statement in Meeting 5 yr. Missions & Goals

A. The PHA's mission is:

The aim of the Mid-East Regional Housing Authority is to continue to ensure safe, decent, and affordable housing; create opportunity for residents' self-sufficiency and economic independence; and assure fiscal integrity in all program administered.

B. PHA Goal: Expand the supply of assisted housing:

Objectives:

- Apply for additional rental vouchers: (ineligible).
- Reduce public housing vacancies: (Unit turn-over time-decrease).
- Leverage private or public funds to create additional housing opportunities: (Have applied but have not been approved).
- Acquire or build units or developments: (Bryant Apts., Grifton, NC) Hycienda Heights, Engelhard, NC and Bridgewood Square Apts., Belhaven, NC-Non-Profit.
- Other: Convert Section 8 Tenant Based Housing Choice Voucher to Section 8 Project Based Assistance (In process).

PHA Goal: Improve the quality of assisted housing

- Improve public housing management: (PHA's score) 100%. (Continuing Pursuit.)
- Improve voucher management: (SEMAP score) not received.
- Increase customer satisfaction: (We continue to respond to customer needs)
- Concentrate on efforts to improve specific management functions: (list; e.g.; public housing finance; voucher, unit inspections) (Continuing our pursuit).
- Renovate or modernize public housing units: (Through capital funding).
- Demolish or dispose of obsolete public housing: (Not Applicable).

PHA Goal: Increase assisted housing choices:

Objectives:

- Provide voucher mobility counseling: (On going Process).
- Conduct outreach efforts to potential voucher landlords. (On-going Process)
- Implement public housing site-based waiting lists: (Completed)

HUD Strategic Goal: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment'

Objectives:

- Implement measure to deconcentrate poverty by bringing higher income public housing households into lower income developments: (Implemented and on-going)
- Implement measures to promote income mixing in the public housing by assuring access for lower income families into higher income development developments: (Implemented and on-going)
- Implement public housing security improvements: (Modernization improvements such as new metal entrance doors and vandal resistant window screens. Increased area lighting.)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals.

PHA Goal: Promote self-efficiency and asset development of assisted households:

Objectives:

- Increased the number and percentage of employed persons in assisted families:
- (Adopted selection preference policy to include working families.)
- Provide or attract supportive services to improve assistance recipients' employability. (FSS Program On-going)
- Provide or attract supportive services to increase independence for the elderly of families with disabilities. (On-going process with resource agencies such as Departments of Social Services, North Carolina Rehabilitation Centers, the Blind Center, and Councils on Aging).

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

PHA Goal: Ensure equal opportunity and affirmative further fair housing.

Objectives:

- Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability: (Included in adopted Tenant Selection and occupancy Plan.)
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability:(Adoption Reasonable Accommodation Policy.)
- Undertake affirmative measures to ensure accessible housing to persons with varieties of disabilities regardless of unit size required. (Included in Reasonable Accommodation Policy.)

ATTACHMENT G

Resident Member on the PHA Governing Board

1. ☒ Yes ☐ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board: **Shirley Howard**

B. How was the resident board member selected: (select one)?

☐ Elected

☒ Appointed

C. The term of appointment is (include the date term expires): **9/14/00 to 9/14/05**

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

☐ the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis

☐ the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.

☐ Other (explain):

B. Date of next term expiration of a governing board member: **4/30/2004**

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position):

Board of Commissioners

William Hazelgrove

Nancy F. House

Robert Scalf

Jimmy Woolard

Thomas W. Harwell, Sr.

Dr. Adam Harris

Shirley Howard

W. Mack Carawan, Jr.

ATTACHMENT H

Membership of the Resident Advisory Board or Boards

List members of the Resident Advisory Board or Boards: (If the list would be unreasonably long, list organizations represented or otherwise provide a description sufficient to identify how members are chosen.)

The Resident Advisory Board members are:

Shirley Howard
Rachel McCullor
Gloria Chesson
Mattie Jackson
Al Marie Simmons

ATTACHMENT I – FY2001 RHF Annual Statement

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750101			Federal FY of Grant: 2001
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$470,777.00			
19	1501 Collateralization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750101			Federal FY of Grant: 2001
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$470,777.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Housing Authority, Washington, NC			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750101			Federal FY of Grant: 2001		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>DEVELOPMENT ACTIVITIES</u>							
NC87-12	Replacement Housing	1499	12 Units	\$470,777.00				
	SUBTOTAL			\$470,777.00				
	GRAND TOTAL			\$470,777.00				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Mid-East Housing Authority. Washington, NC			Grant Type and Number Capital Fund Program No: Replacement Housing Factor No: NC19R08750101				Federal FY of Grant: 2001
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
NC87-12	09/30/05			12/30/06			PHA has not accumulated adequate Funds and PHA has not received approval of a Development proposal.

NC87-6=30 Apts.
NC87-7=20 Apts.
NC87-8=30 Apts.
NC87-11=35 Apts.
NC87-12=50 Apts.

ATTACHMENT J – FY2000 CFP P & E Report

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0.00	\$115,744.00	\$0.00	\$0.00
3	1408 Management Improvements	\$5,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration	\$23,000.00	\$0.00	\$0.00	\$0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$18,000.00	\$26,000.00	\$26,000.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable	\$9,000.00	\$9,000.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$10,000.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$10,000.00	\$10,000.00	\$0.00	\$0.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$534,744.00	\$429,000.00	\$0.00	\$0.00
19	1501 Collateralization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750100 Replacement Housing Factor Grant No:			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$599,744.00	\$599,744.00	\$26,000.00	\$0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures		\$0.00		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>OPERATIONS</u>							
PHA-Wide	Operations	1406	165 Units	\$0.00	\$115,744.00	\$0.00	\$0.00	Item Added
	SUBTOTAL			\$0.00	\$115,744.00	\$0.00	\$0.00	
	<u>MANAGEMENT IMPROVEMENTS</u>							
PHA-Wide	Management Improvements	1408	165 Units	\$5,000.00	\$0.00	\$0.00	\$0.00	Deleted
	SUBTOTAL			\$5,000.00	\$0.00	\$0.00	\$0.00	
	<u>ADMINISTRATION</u>							
PHA-Wide	Administration	1410	165 Units	\$23,000.00	\$0.00	\$0.00	\$0.00	Deleted
	SUBTOTAL			\$23,000.00	\$0.00	\$0.00	\$0.00	
	<u>FEES & COSTS</u>							
NC87-6	a. Architect's fee to prepare bid and	1430.1	30 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-7	Contract documents, drawings,	1430.1	20 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-8	Specifications and assist the PHA at bid	1430.1	30 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-11	opening, awarding the contract, and to	1430.1	35 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-12	supervise the construction work on a	1430.1	50 Units	\$18,000.00	\$25,000.00	\$25,000.00	\$25,000.00	Contract
	periodic basis. Fee to be negotiated.							Executed
	Contract Labor.							
	Subtotal			\$18,000.00	\$25,000.00	\$25,000.00	\$25,000.00	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NC87-6	b. Consulting fees for Agency Plan	1430.2	30 Units	\$0.00	\$200.00	\$200.00	\$0.00	Contract
NC87-7	Preparation.	1430.2	20 Units	\$0.00	\$200.00	\$200.00	\$0.00	Executed
NC87-8		1430.2	30 Units	\$0.00	\$200.00	\$200.00	\$0.00	
NC87-11		1430.2	35 Units	\$0.00	\$200.00	\$200.00	\$0.00	
NC87-12		1430.2	50 Units	\$0.00	\$200.00	\$200.00	\$0.00	
	Subtotal			\$0.00	\$1,000.00	\$1,000.00	\$0.00	
	SUBTOTAL			\$18,000.00	\$26,000.00	\$26,000.00	\$0.00	
	<u>DWELLING EQUIPMENT</u>							
PHA-Wide	Ranges & Refrigerators	1465	12 Units	\$9,000.00	\$9,000.00	\$0.00	\$0.00	No Work to
	SUBTOTAL			\$9,000.00	\$9,000.00	\$0.00	\$0.00	Date
	<u>NON-DWELLING STRUCTURES</u>							
PHA-Wide	Miscellaneous Renovations &	1470	1 Each	\$0.00	\$10,000.00	\$0.00	\$0.00	No Work to
	Maintenance Facilities							Date
	SUBTOTAL			\$0.00	\$10,000.00	\$0.00	\$0.00	
	<u>NON-DWELLING EQUIPMENT</u>							

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P08750100 Replacement Housing Factor Grant No:				Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Purchase Maintenance, Office & Community Room Equipment	1475	1 Each	\$10,000.00	\$10,000.00	\$0.00	\$0.00	No Work to Date
	SUBTOTAL			\$10,000.00	\$10,000.00	\$0.00	\$0.00	
	<u>DEVELOPMENT ACTIVITIES</u>							
NC87-6		1499	0 Unit	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-7		1499	0 Unit	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-8		1499	0 Unit	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-11		1499	0 Unit	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-12		1499	6 Unit	\$534,744.00	\$429,000.00	\$0.00	\$0.00	
	SUBTOTAL			\$534,744.00	\$429,000.00	\$0.00	\$0.00	
	GRAND TOTAL			\$599,744.00	\$599,744.00	\$26,000.00	\$0.00	

Annual Statement/Performance and Evaluation Report**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)****Part III: Implementation Schedule**

PHA Name: Mid-East Regional Housing Authority, Washington, NC			Grant Type and Number Capital Fund Program No: NC19P08750100 Replacement Housing Factor No:			Federal FY of Grant: 2000	
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA-Wide	09/30/01	03/31/02		12/31/02	09/30/03		
							Full 18 Months each for Obligated and Expenditure
NC87-6	09/30/01	03/31/02		12/31/02	09/30/03		required to implement Development Activities in
NC87-7	09/30/01	03/31/02		12/31/02	09/30/03		Conjunction with Replacement Housing Factor Funds.
NC87-8	09/30/01	03/31/02		12/31/02	09/30/03		
NC87-11	09/30/01	03/31/02		12/31/02	09/30/03		
NC87-12	09/30/01	03/31/02		12/31/02	09/30/03		

NC87-6=30 Apts.; NC87-7=20 Apts.; NC87-8=30 Apts.; NC87-11=35 Apts.; NC87-12=50 Apts.

ATTACHMENT K – FY2000 RHF P & E Report

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750100			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$18,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$90,000.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$33,928.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$0.00	\$141,928.00	\$0.00	\$0.00

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750100			Federal FY of Grant: 2000
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$141,928.00	\$141,928.00	\$0.00	\$0.00
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750100			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>FEES & COSTS</u>							
NC87-12	a. Architect's fee to prepare bid and	1430.1	6 Units	\$15,000.00	\$0.00	\$0.00	\$0.00	Deleted
	Contract documents, drawings,							
	specification and assist the PHA at bid							
	opening, awarding the contract, and to							
	supervise the construction work on a							
	Periodic basis. Fee to be negotiated.							
	Contract Labor. Feasibility Study.							
	Subtotal			\$15,000.00	\$0.00	\$0.00	\$0.00	
NC87-12	b. Phase I Environmental Studies & Soils	1430.19	6 Units	\$3,000.00	\$0.00	\$0.00	\$0.00	Deleted
	Investigation							
	Subtotal			\$3,000.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$18,000.00		\$0.00	\$0.00	
	<u>SITE IMPROVEMENTS</u>							
NC87-12	Grading, Site Utilities, Paving for 6	1450	6 Units	\$90,000.00	\$0.00	\$0.00	\$0.00	Deleted
	Dwelling Units. Contract Labor.							
	SUBTOTAL			\$90,000.00	\$0.00	\$0.00	\$0.00	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC			Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19R08750100			Federal FY of Grant: 2000		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>DWELLING STRUCTURES</u>							
NC87-12	Construct 6 dwelling Units in							
	Conjunction with mixed financing.							
	Contract Labor.	1460	6 Units	\$33,928.00	\$0.00	\$0.00	\$0.00	Deleted
	SUBTOTAL			\$33,928.00	\$0.00	\$0.00	\$0.00	
	GRAND TOTAL			\$141,928.00	\$141,928.00	\$0.00	\$0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

NC87-6=30 Apts.
NC87-7=20 Apts.
NC87-8=30 Apts.
NC87-11=35 Apts.
NC87-12=50 Apts

ATTACHMENT L – FY1999 P & E Report

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0.00		\$0.00	\$0.00
3	1408 Management Improvements	\$6,000.00		\$6,000.00	\$720.00
4	1410 Administration	\$23,000.00		\$23,000.00	\$16,724.37
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$17,500.00		\$17,500.00	\$6,708.31
8	1440 Site Acquisition				
9	1450 Site Improvement	\$87,500.00		\$0.00	\$0.00
10	1460 Dwelling Structures	\$47,500.00		\$0.00	\$0.00
11	1465.1 Dwelling Equipment—Nonexpendable	\$9,000.00		\$9,000.00	\$0.00
12	1470 Non-dwelling Structures	\$55,000.00		\$3,108.30	\$3,108.30
13	1475 Non-dwelling Equipment	\$62,000.00		\$62,000.00	\$1,210.84
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	\$103,306.00		\$0.00	\$0.00
19	1501 Collateralization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:			Federal FY of Grant: 1999
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$410,806.00		\$120,608.30	\$28,471.82
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	MANAGEMENT IMPROVEMENTS							
PHA-Wide	Computer Training, Software & Staff Development Training	1408	165 Units	\$6,000.00	\$0.00	\$6,000.00	\$720.00	In Progress
	SUBTOTAL			\$6,000.00	\$0.00	\$6,000.00	\$720.00	
	ADMINISTRATION							
PHA-Wide	Prorate Staff Salaries and Benefits	1410	165 Units	\$23,000.00	\$0.00	\$0.00	\$16,724.37	In Progress
	SUBTOTAL			\$23,000.00	\$0.00	\$0.00	\$16,724.37	
	FEES & COSTS							
PHA-Wide	a. Architect's fee to prepare bid and Contract documents, drawings, Specifications and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a periodic basis. Fee to be negotiated. Contract Labor.	1430.1	165 Units	\$16,500.00	\$0.00	\$16,500.00	\$5,708.31	In Progress
	Subtotal			\$16,500.00	\$0.00	\$16,500.00	\$5,708.31	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	b. Consulting fees for Agency Plan Preparation.	1430.2	165 Units	\$1,000.00		\$1,000.00	\$1,000.00	Completed
	Subtotal			\$1,000.00		\$1,000.00	\$1,000.00	
	SUBTOTAL			\$17,500.00		\$17,500.00	6,708.31	
	SITE IMPROVEMENTS							
NC87-6	Landscaping	1450	30 Units	\$55,000.00		\$0.00	\$0.00	In Progress
NC87-7	Drainage	1450	20 Units	\$5,000.00		\$0.00	\$0.00	
NC87-8	Fencing	1450	30 Units	\$0.00		\$0.00	\$0.00	
NC87-11	Paving	1450	35 Units	\$27,500.00		\$0.00	\$0.00	
NC87-12		1450	50 Units	\$0.00		\$0.00	\$0.00	
	SUBTOTAL			\$87,500.00		\$0.00	\$0.00	
	DWELLING STRUCTURES							
NC87-6	a. Shutters	1460	30 Units	\$3,000.00		\$0.00	\$0.00	In Progress
NC87-7		1460	20 Units	\$0.00		\$0.00	\$0.00	
NC87-8		1460	30 Units	\$0.00		\$0.00	\$0.00	
NC87-11		1460	35 Units	\$3,500.00		\$0.00	\$0.00	
NC87-12		1460	50 Units	\$0.00		\$0.00	\$0.00	
	Subtotal			\$6,500.00		\$0.00	\$0.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
NC87-6	b. Bathrooms (vanities); Aluminum covering	1460	30 Units	\$41,000.00		\$0.00	\$0.00	In Progress
NC87-7	over wood; Storage room doors	1460	20 Units	\$0.00		\$0.00	\$0.00	
NC87-8		1460	30 Units	\$0.00		\$0.00	\$0.00	
NC87-11		1460	35 Units	\$0.00		\$0.00	\$0.00	
NC87-12		1460	50 Units	\$0.00		\$0.00	\$0.00	
	Subtotal			\$41,000.00		\$0.00	\$0.00	
	SUBTOTAL			\$47,500.00		\$0.00	\$0.00	
	<u>Dwelling Equipments</u>							
PHA-Wide	Ranges & Refrigerators	1465	12 Units	\$9,000.00		\$9,000.00	\$0.00	In Progress
	SUBTOTAL			\$9,000.00		\$9,000.00	\$0.00	
	<u>Non Dwelling Structures</u>							
PHA-Wide	Miscellaneous Renovations & Maintenance	1470	1 Each	\$55,000.00		\$0.00	\$3,108.30	In Progress
	Facilities. New Maintenance Structures							
	SUBTOTAL			\$55,000.00		\$0.00	\$3,108.30	
	<u>Non-Dwelling Equipment</u>							
PHA-Wide	Purchase Maintenance, Office & Community	1475	1 Each	\$62,000.00		\$62,000.00	\$1,210.84	In Progress
	Room Equipment							
	SUBTOTAL			\$62,000.00		\$62,000.00	\$1,210.84	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087707 Replacement Housing Factor Grant No:				Federal FY of Grant: 1999		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>Development Activities</u>							
NC87-6		1499	0 Unit	\$0.00		\$0.00	\$0.00	No Work
NC87-7		1499	0 Unit	\$0.00		\$0.00	\$0.00	To Date
NC87-8		1499	0 Unit	\$0.00		\$0.00	\$0.00	
NC87-11		1499	0 Unit	\$0.00		\$0.00	\$0.00	
NC87-12		1499	2 Unit	\$103,306.00		\$0.00	\$0.00	
	SUBTOTAL			\$103,306.00		\$0.00	\$0.00	
	GRAND TOTAL			\$410,806.00		\$120,608.30	\$28,471.82	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

NC87-6=30 Apts.; NC87-7=20 Apts.; NC87-8=30 Apts.; NC87-11=35 Apts.; NC87-12=50 Apts.

ATTACHMENT M – FY1998 P & E Report

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087706 Replacement Housing Factor Grant No:			Federal FY of Grant: 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$6,000.00	\$6,000.00	\$6,000.00	\$4,153.81
4	1410 Administration	\$23,000.00	\$23,000.00	\$23,000.00	\$23,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$15,500.00	\$15,500.00	\$15,500.00	\$12,416.62
8	1440 Site Acquisition				
9	1450 Site Improvement	\$30,000.00	\$50,000.00	\$50,000.00	\$1,200.00
10	1460 Dwelling Structures	\$236,361.00	\$196,361.00	\$196,361.00	\$127,703.48
11	1465.1 Dwelling Equipment—Nonexpendable	\$9,000.00	\$9,000.00	\$9,000.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$20,000.00	\$20,000.00	\$0.00
13	1475 Non-dwelling Equipment	\$19,000.00	\$19,000.00	\$19,000.00	\$19,000.00
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087706 Replacement Housing Factor Grant No:			Federal FY of Grant: 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$338,861.00	\$338,861.00	\$338,861.00	\$187,473.91
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	MANAGEMENT IMPROVEMENTS							
PHA-Wide	Computer Training, Software & Staff	1408	165 Units	\$6,000.00	\$6,000.00	\$6,000.00	\$4,153.81	In Progress
	Development Training							
	SUBTOTAL			\$6,000.00	\$6,000.00	\$6,000.00	\$4,153.81	
	ADMINISTRATION							
PHA-Wide	Prorate Staff Salaries and Benefits	1410	165 Units	\$23,000.00	\$23,000.00	\$23,000.00	\$23,000.00	Completed
	SUBTOTAL			\$23,000.00	\$23,000.00	\$23,000.00	\$23,000.00	
	FEES & COSTS							
PHA-Wide	a. Architect's fee to prepare bid and	1430.1	165 Units	\$14,500.00	\$14,500.00	\$14,500.00	\$11,416.62	In Progress
	Contract documents, drawings,							
	Specifications and assist the PHA at bid							
	opening, awarding the contract, and to							
	supervise the construction work on a							
	periodic basis. Fee to be negotiated.							
	Subtotal			\$14,500.00	\$14,500.00	\$14,500.00	\$11,416.62	
PHA-Wide	b. Consulting fees for Agency Plan	1430.2	165 Units	\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	Completed
	Preparation.							
	Subtotal			\$1,000.00	\$1,000.00	\$1,000.00	\$1,000.00	
	SUBTOTAL			\$15,500.00	\$15,500.00	\$15,500.00	12,416.62	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	SITE IMPROVEMENTS							
NC87-6	Landscaping	1450	30 Units	\$0.00	\$48,800.00	\$48,800.00	\$0.00	In Progress
NC87-7	Earthwork	1450	20 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-8	Trees	1450	30 Units	\$0.00	\$1,200.00	\$1,200.00	\$1,200.00	
NC87-11	Paving	1450	35 Units	\$0.00	\$0.00	\$0.00	\$0.00	
NC87-12	Utilities	1450	50 Units	\$30,000.00	\$0.00	\$0.00	\$0.00	
	SUBTOTAL			\$30,000.00	\$50,000.00	\$50,000.00	\$1,200.00	
	DWELLING STRUCTURES							
NC87-6	a. Doors/Windows/Screens/Kitchen	1460	30 Units	\$40,500.00	\$16,500.00	\$16,500.00	\$16,500.00	Completed
NC87-7		1460	20 Units	\$0.00	\$10,000.00	\$10,000.00	\$10,000.00	
NC87-8		1460	30 Units	\$195,861.00	\$84,000.00	\$84,000.00	\$84,000.00	
NC87-11		1460	35 Units	\$0.00	\$17,203.48	\$17,203.48	\$17,203.48	
NC87-12		1460	50 Units	\$0.00	\$0.00	\$0.00	\$0.00	
	Subtotal			\$236,361.00	\$127,703.48	\$127,703.48	\$127,703.48	
NC87-6	b. Interior Improvements	1460	30 Units	\$0.00	\$15,000.00	\$15,000.00	\$0.00	In Progress
NC87-7		1460	20 Units	\$0.00	\$15,000.00	\$15,000.00	\$0.00	
NC87-8		1460	30 Units	\$0.00	\$15,000.00	\$15,000.00	\$0.00	
NC87-11		1460	35 Units	\$0.00	\$23,657.00	\$23,657.00	\$0.00	
NC87-12		1460	50 Units	\$0.00	\$0.00	\$0.00	\$0.00	
	Subtotal			\$0.00	\$68,657.00	\$68,657.00	\$0.00	
	SUBTOTAL			\$236,361.00	\$196,361.00	\$196,361.00	\$127,703.48	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: NC19P087706 Replacement Housing Factor Grant No:				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>Dwelling Equipments</u>							
PHA-Wide	Ranges & Refrigerators	1465	12 Units	\$9,000.00	\$9,000.00	\$9,000.00	\$9,000.00	In Progress
	SUBTOTAL			\$9,000.00	\$9,000.00	\$9,000.00	\$9,000.00	
	<u>Non Dwelling Structures</u>							
PHA-Wide	Miscellaneous Renovations & Maintenance Facilities. New Maintenance Structures	1470	1 Each	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	In Progress
	SUBTOTAL			\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	
	<u>Non-Dwelling Equipment</u>							
PHA-Wide	Purchase Maintenance, Office & Community Room Recreation Equipment	1475	1 Each	\$19,000.00	\$19,000.00	\$19,000.00	\$19,000.00	Completed
	SUBTOTAL			\$19,000.00	\$19,000.00	\$19,000.00	\$19,000.00	
	GRAND TOTAL			\$338,861.00	\$338,861.00	\$338,861.00	\$187,473.91	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

NC87-6=30 Apts.; NC87-7=20 Apts.; NC87-8=30 Apts.; NC87-11=35 Apts.; NC87-12=50 Apts.

ATTACHMENT N – FY1998 Demo P & E Report

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19URD0870198			Federal FY of Grant: 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations				
3	1408 Management Improvements				
4	1410 Administration	\$58,000.00		\$58,000.00	\$58,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$80,600.00		\$65,605.00	\$65,605.00
8	1440 Site Acquisition				
9	1450 Site Improvement	\$484,900.00		\$67,200.00	\$0.00
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	\$32,500.00		\$32,634.50	\$32,634.50
14	1485 Demolition	\$984,000.00		\$874,300.01	\$874,300.01
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs	\$186,000.00		\$39,687.70	\$39,687.70
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19URD0870198			Federal FY of Grant: 1998
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/01 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$1,826,000.00		\$1,137,427.21	\$1,070,227.21
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19URD0870198				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	ADMINISTRATION							
NC87-12	a. Prorate Staff Salaries	1410.1	328 Apts.	\$43,000.00		\$43,000.00	\$43,000.00	Completed
	Subtotal			\$43,000.00		\$43,000.00	\$43,000.00	
NC87-12	b. Employee Benefits for Staff Salaries	1410.9	328 Apts.	\$15,000.00		\$15,000.00	\$15,000.00	
	Subtotal			\$15,000.00		\$15,000.00	\$15,000.00	
	SUBTOTAL			\$58,000.00		\$58,000.00	\$58,000.00	
	FEES & COSTS							
NC87-12	a. Architect's fee to prepare bid and Contract documents, drawings, specification and assist the PHA at bid opening, awarding the contract, and to supervise the construction work on a Periodic basis. Fee to be negotiated. Contract Labor.	1430.1	328 Units	\$65,600.00		\$65,605.00	\$65,605.00	Completed
	Subtotal			\$65,600.00		\$65,605.00	\$65,605.00	
NC87-12	b. Clerk of Works	1430.19	328 Units	\$15,000.00		\$0.00	\$0.00	In Progress
	Subtotal			\$15,000.00		\$0.00	\$0.00	
	SUBTOTAL			\$80,600.00		\$65,605.00	\$65,605.00	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19URD0870198				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	SITE IMPROVEMENTS							
NC87-12	Site Restoration & Remediation	1450	328 Units	\$484,900.00		\$67,200.00	\$0.00	In Progress
	SUBTOTAL			\$484,900.00		\$67,200.00	\$0.00	
	NON-DWELLING EQUIPMENT							
NC87-12	a. Equipment required to Implement	1475	1 Each	\$15,000.00		\$15,000.00	\$15,000.00	Completed
	Program. 20% of Truck for Clerk of							
	Works & 20% of Copier							
	Subtotal			\$15,000.00		\$15,000.00	\$15,000.00	
	b. Tractor & Mower to establish Lawns;	1475	1 Each	\$17,500.00		\$17,634.50	\$17,634.50	Completed
	Restore Site & Remediation							
	Subtotal			\$17,500.00		\$17,634.50	\$17,634.50	
	SUBTOTAL			\$32,500.00		\$32,634.50	\$32,634.50	

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Mid-East Regional Housing Authority, Washington, NC		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: NC19URD0870198				Federal FY of Grant: 1998		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
	<u>DEMOLITION</u>							
NC87-12	Demolition of Structure, Abatement of	1485	328 Units	\$984,000.00		\$874,300.01	\$874,300.01	In Progress
	Asbestos; Disposal of material							
	SUBTOTAL			\$984,000.00		\$874,300.01	\$874,300.01	
	<u>RELOCATION COSTS</u>							
NC87-12	Pay Relocation Costs	1495.1	328 Units	\$186,000.00		\$39,687.70	\$39,687.70	In Progress
	SUBTOTAL			\$186,000.00		\$39,687.70	\$39,687.70	
	GRAND TOTAL			\$1,826,000.00		\$1,137,427.21	\$1,070,227.21	

ATTACHMENT O

Community Service Policy

Mid-East Regional Housing Authority Communtiy Service requirement for Public housing (Section – 512)

Every adult resident of the Mid-East Regional Housing Authority will be required to perform eight hours of community service each month, or participate in a self-sufficiency program for at least eight hours every month. This requirement does not apply if the adult resident:

1. Is 62 years of age or older;
2. Is a blind or disabled individual, as defined by law, and who is unable to comply with this section, or is a primary caretaker of such individual;
3. Is engaged in a work activity, Section 407(d) of the Social Security Act, as in effect on and after July 1, 1997;
4. Meets the requirement for being exempted from having to engage in a work activity under the State program funded under part A of the Title IV of the Social Security Act or under any other welfare program of the State in which the Washington Housing Authority is located, including a State-administered welfare-to-work program;
5. Is in a family receiving assistance under State programs funded under part A of Title IV of the Social Security Act, or under any other welfare program of the State including a State administered welfare-to-work program, and has not been found by the State or other administering entity to be in non-compliance with such program.

The Mid-East Regional Housing Authority will determine compliance with the public housing community service requirements once a year, 30 days prior to the expiration of the resident's lease, in accordance with the principles of due process. If the Mid-East Regional Housing Authority determines that a tenant is not compliant, the Mid-East Regional Housing Authority will notify that resident of the determination; that the determination is subject to administrative grievance procedures; and that the resident's lease will not be renewed unless the resident enters into an agreement with the Mid-East Regional Housing Authority to make up the missed hours by participating in a self-sufficiency program or contributing to community service.

This Community Service requirement for Public Housing Policy is in accordance with the Summary of the Q.H.W.R.A of 1998 as prepared by the Office of Policy, Program and Legislative Initiatives and is established for the Mid-East Regional Housing Authority, by action of the Board of Commissioners on this _____ day of _____ 1999.

ATTACHMENT P

Pet Policy

PET POLICY MID-EAST REGIONAL HOUSING AUTHORITY

This Statement of Pet Policy is established for the Mid-East Regional Housing Authority by action of the Board of Commissioners on this _____ day of _____, 20____.

6. An additional security deposit of \$300.00 plus a non-refundable Pet Fee of \$200.00 must be paid at the time of the pet move in, unless it is an assistive animal. (The Pet Fee must be paid in full.) The deposit may be paid in increments of not less than \$50.00 per month for each succeeding month until the sum of \$300.00 is paid. The security deposit or any part thereof may not be used for any damages incurred on the unit unless directly related to said pet.
7. The size of the pet is limited to a maximum of twenty-five (25) pounds (adult weight), unless it is an assistive animal.
8. Owner of the pet will be responsible for all cleanup anywhere on the grounds or in the building. If the pet owner is unable, or contact with the tenant cannot be made, a \$25.00 fee for each cleanup performed by the Housing Authority will be assessed.
9. All pet owners must submit an up-to-date immunization record from a qualified veterinarian and must display a current tag for said pet. Immunization records and pet license tags are to be rectified during the month of the pet owner's rectification of tenant eligibility.
10. Pet owner must make a bonafide effort to control fleas and ticks at all times.
11. Limit one pet per unit. Pet must be neutered or spayed and will be restricted to designated pet areas only. Pets will not be allowed in any designated area unless accompanied by a responsible person and is restrained on a leash or similar device.
12. A visiting pet will not be allowed accommodations for a period longer than fourteen (14) days and nights and demonstrate, prior to entry, updated proof of immunization.
13. A pet owner is in violation of the city ordinance on animal control when his or her animal causes objectionable noises, destroys or damages the property of others.
14. Pet owners must comply with all Housing Authority, County, State and Federal Regulations on animal regulatory laws.
15. When litter boxes are in use, the pet owner will not change the litter less than twice each week and will separate pet waste from litter when disposing of same.
16. Inspections other than those permitted under the lease may be made after proper notification and during reasonable hours if a complaint is received in writing and the Housing Authority has reasonable grounds to believe that a nuisance or threat to health and safety of the occupants of the dwelling or surrounding area exist.
17. In the event of a pet rule violation, the pet owner will have up to fifteen (15) days from date of service of the notice to cure the violation, to remove the pet or to

make a written request for a meeting to discuss said violation(s). the pet owner is entitled to be accompanied by another person of his or her choice. Failure to cure the violation, to request a meeting, or to appear at a requested meeting will result in termination of tenancy.

18. If a pet is removed due to the death or incapacity of the pet owner and the two responsible parties are contacted and are unwilling or unable to remove the pet, or cannot be contacted, the pet will be removed and placed in a pet facility for a period not to exceed thirty (30) days. The cost of the animal care shall be borne by the pet owner or his/her estate. If unable or unwilling to pay, the cost will be paid from the pet deposit.
19. All conditions must be met and the lease signed before admitting said pet to the dwelling unit.

I, _____, having duly read the above pet rules, understand and agree to comply with said rules as long as I retain a pet on the Authority premises.

TENANT'S SIGNATURE: _____ DATE: _____

WITNESS: _____ DATE: _____

ATTACHMENT Q

Assessment of Demographic Changes in Public Housing Developments

There have been no significant changes in racial, ethnic or disability-related tenant composition to date because of the implementation of site-based waiting lists. Over the next year, the Housing Authority expects to see positive demographic improvements in tenant composition because of the site-based waiting lists.

ATTACHMENT R

Section 8 Project Based Voucher Statement

The Mid-East Regional Housing Authority currently has 16 project-based vouchers and 40 more project-based vouchers are planned to be added this year. The 16 current project-based vouchers are designated for the Town of Roper located in Washington County. Of the 40 new project-based vouchers that are to be added, 24 are designated for Hyde County and 16 are designated for the Town of Bellhaven located in Beaufort County.

The 40 new vouchers will be assigned to existing tax credit properties. The non-profit subsidiary of the Mid-East Regional Housing Authority is taking over the current managing partners interest in these properties. Designating 56 total vouchers to Project Based vouchers is an appropriate option because the supply of units for tenant-based assistance is very limited and project-basing in these strategic locations is needed to assure the availability of these units for the future.